

1804  
1805  
1806

626 10/27/94 1804

PATENT  
Our Docket: P41 9755IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn Re Application of: 6200  
EVANS ET AL.

Serial No.: 08/244,857

Filed: June 14, 1994

For: MEANS FOR THE MODULATION  
OF PROCESSES MEDIATED BY  
RETINOID RECEPTORS AND  
COMPOUNDS USEFUL THEREFOR

## BOX SEQUENCE

Hon. Commissioner of  
Patents and Trademarks  
Washington, D.C. 2023118X Saygala  
12/12/94I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner of Patents and Trade  
marks, Washington, D. C. 20231, on 10/12/94BY Stephan E. Reiter  
Stephen E. Reiter Reg. No. 31,192  
10/12/94

Date of Signature

RECEIVED

OCT 21 1994

GROUP 1800

COMMUNICATION

Sir:

Responsive to the NOTICE TO COMPLY WITH REQUIREMENTS FOR  
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO  
ACID SEQUENCE DISCLOSURES mailed September 12, 1994, the  
following are provided:

- (1) a copy of the NOTICE;
- (2) a copy of the sequence information in computer readable  
form;
- (3) a Preliminary Amendment with replacement pages 52-53;  
and
- (4) a statement under 37 CFR §1.821 (f) and (g).

Respectfully submitted,

Date: 10/12/94Stephan E. ReiterStephen E. Reiter  
Registration No. 31,192  
Telephone: (619) 546-4737  
Facsimile: (619) 546-9392

PRETTY, SCHROEDER,  
BRUEGEMANN & CLARK  
444 South Flower Street, Suite 2000  
Los Angeles, California 90071



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
--------------------	-------------	-----------------------	------------------------

08/244,857 06/14/94 EVANS

R F41 9755

03A1/0912

STEPHEN E. REITER  
PRETTY, SCHROEDER, BRUEGEMANN & CLARK  
444 SOUTH FLOWER STREET  
SUITE 2000  
LOS ANGELES, CALIFORNIA 90071

RECEIVED

OCT 21 1994

0000 DATE MAILED: GROUP 1800  
09/12/94

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$\_\_\_\_\_ for large entities or \$\_\_\_\_\_ for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a  large entity,  small entity (verified statement filed), is \$\_\_\_\_\_.

Applicant is given ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this application, WHICHEVER IS LATER, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

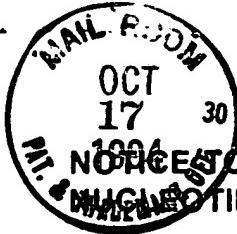
1.  The statutory basic filing fee is:  missing  insufficient. Applicant as a  large entity  small entity, must submit \$\_\_\_\_\_ to complete the basic filing fee.
2.  Additional claim fees of \$\_\_\_\_\_ as a  large entity,  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3.  The oath or declaration:  
 is missing.  
 does not cover items omitted at time of execution.
4.  The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5.  The signature to the oath or declaration is:  missing;  a reproduction;  by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6.  The signature of the following joint inventor(s) is missing from the oath or declaration:

\_\_\_\_\_  
An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

7.  The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$\_\_\_\_\_ under 37 CFR 1.17(k), unless this fee has already been paid.
8.  A \$\_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).
9.  Your filing receipt was mailed in error because check was returned without payment.
10.  The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11.  Other.

Direct the response and any questions about this notice to J. Hallinan, Application Processing Division, Special Processing and Correspondence Branch (703) 308-1202.

**Any of this notice MUST be returned with**



Application No. 08/244 857

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):

1. This application clearly fails to comply with the requirements of 37 CFR 1.821 - 1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c).
3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
7. Other: \_\_\_\_\_

**Applicant must provide:**

- An initial or substitute computer readable form (CRF) copy of the "Sequence Listing"
- An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification
- A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d)

For questions regarding compliance with these requirements, please contact:

For Rules Interpretation, call (703) 308-1123  
For CRF submission help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

**Please return a copy of this notice with your response.**

PATENT  
Our Docket: P41 9755

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
EVANS ET AL. )  
Serial No.: 08/244,857 )  
Filed: June 14, 1994 )  
For: MEANS FOR THE MODULATION )  
OF PROCESSES MEDIATED BY )  
RETINOID RECEPTORS AND )  
COMPOUNDS USEFUL THEREFOR )

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner of Patents and Trade  
marks, Washington, D.C. 20231, on 10/12/94

BY SE Stephen E. Reiter Reg. No. 31,192  
10/12/94  
Date of Signature

**RECEIVED**

BOX SEQUENCE  
Hon. Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

OCT 21 1994

**GROUP 1800**

STATEMENT UNDER 37 C.F.R. § 1.821(f) and (g)

Sir:

I hereby state, as required by 37 C.F.R. §1.821(f),  
that the content of the paper and computer readable copy of the  
Sequence Listing, submitted in accordance with 37 CFR § 1.821(c)  
and (e), respectively, are the same.

I hereby state, as required by 37 C.F.R. § 1.821 (g),  
that the enclosed submission includes no new matter.

Respectfully submitted,

Date: 10/12/94

SE  
Stephen E. Reiter  
Registration No. 31,192  
Telephone: (619) 546-4737  
Facsimile: (619) 546-9392

PRETTY, SCHROEDER,  
BRUEGEMANN & CLARK  
444 South Flower Street, Suite 2000  
Los Angeles, California 90071